

07/23/01

A

Patent Application Transmittal

(only for new nonprovisional applications under 37 C.F.R. 1.53(b))

Correspondence Address:

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Date: July 20, 2001

Attorney Docket No.: 450104-3165.1

ASSISTANT COMMISSIONER FOR PATENTS

Box Patent Application

Washington, D.C. 20231

Sir:

With reference to the filing in the United States Patent and Trademark Office of an application for patent in the name(s) of:

Tetsuo OGAWA, Hiroki KOTANI

entitled:

RECORDING APPARATUS AND REPRODUCING APPARATUS

X Continuing Application

X Continuation      Divisional      Continuation-in-Part (CIP)  
of prior application serial no. 08/687,360, filed August 2, 1996, which  
is a 371 of PCT/JP95/00136, filed 2 February 1995.

[Note: If priority under 35 U.S.C. 120 involves a series of respectively copending applications, then in this amendment identify each and its relationship to its immediate predecessor.]

X The prior application is assigned of record to SONY CORPORATION.

The following are enclosed:

X Specification (46 pages) (including original claims and abstract)

X 19 Sheet(s) of Drawings

X 18 Claim(s) (including 5 independent claims, as presented in  
the accompanying Preliminary Amendment)

     This application contains a multiple dependent claim

X Our check for \$ 870.00, calculated on the basis of the claims  
existing in the prior application (less any claims canceled herein) as  
amended by any enclosed preliminary amendment as follows:

Basic Fee, \$710.00 (\$355.00)	\$ 710.00
Number of Claims in excess of 20 at \$18.00 (\$9.00) each:	-0-
Number of Independent Claims in excess of 3 at \$80.00 (\$40.00) each:	2..160.00
Multiple Dependent Claim Fee at \$260.00 (\$130.00)	-0-
Total Filing Fee	\$ 870.00

     Assignment Recording Fee \$40.00 -0-

     This application is being filed within the month following the  
expiration of the term originally set therefor in the prior application.  
This is a petition to request a -month extension of time. A check  
covering the cost of the petition is enclosed.

07/20/01  
jc950 U.S. PTO

jc971 U.S. PTO  
09/10/02  
07/20/01

09/10/02 07:20:01

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450104-3165.1

- ☒ Oath or Declaration and Power of Attorney  
    ☐ New      ☐ signed      ☐ unsigned  
☒ Copy from a prior application (37 C.F.R. 1.63(d))

Deletion of Inventors

\_\_\_\_ Signed Statement attached deleting inventor(s) named in the prior application (37 C.F.R. 1.63(d)(2) and 1.33(b))

Power of Attorney or Correspondence Address Change

- ☒ Power of attorney and/or correspondence address was changed during prosecution of the prior application. The new power of attorney is to William S. Frommer, Reg. No. 25,506. The new correspondence address is indicated above.
- ☒ Incorporation by Reference (for continuation or divisional application)  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
- ☒ A Preliminary Amendment is enclosed.  
(Claims added by this amendment have been properly numbered consecutively beginning with the number next following the highest numbered original claim in the prior application.)
- \_\_\_\_ Cancel in this application original claims \_\_\_\_\_ of the prior application before calculating the filing fee. (At least one original independent claim must be retained for filing purposes.)
- ☒ New formal drawings are enclosed.
- ☒ Certified copy of each foreign priority application on which the claim for priority under 35 U.S.C. 119 is based was filed in prior U.S. application serial no. 08/687,360, filed August 2, 1996. A list of said foreign priority application(s) is provided below. Acknowledgement thereof is requested.

<u>Application No.</u>	<u>Filed</u>	<u>In</u>
6-011161	2 February 1994	Japan
6-016709	10 February 1994	Japan

Please charge any additional fees required for the filing of this application or credit any overpayment to Deposit Account No. 50-0320.

Respectfully submitted,

FROMMER LAWRENCE & HAUG LLP  
Attorneys for Applicants

By: William S. Frommer by  
William S. Frommer  
Reg. No. 25,506

Dennis M. Smith  
Reg. No. 34,930

"Express Mail" mailing label number EL250498694US

Date of Deposit July 20, 2001

I hereby certify that this paper or fee, and a patent application and accompanying papers, are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and are addressed to the Assistant Commissioner for Patents, Washington, DC 20231.

Kenneth Patterson

(Typed or printed name of person mailing paper or fee)

Kenneth Patterson

(Signature of person mailing paper or fee)

2002/07/20 10:00:00